Temperature dependence of plasmonic terahertz absorption in grating-gate gallium-nitride transistor structures

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Strong plasmon resonances have been observed in the terahertz transmission spectra (1–5 THz) of large-area slit-grating-gate AlGaN/GaN-based high-electron-mobility transistor (HEMT) structures at temperatures from 10 to 170 K. The resonance frequencies correspond to the excitation of plasmons with wave vectors equal to the reciprocal lattice vectors of the metal grating, which serves both as a gate electrode for the HEMT and a coupler between plasmons and incident terahertz radiation. Wide tunability of the resonances by the applied gate voltage demonstrates potential of these devices for terahertz applications. © 2010 American Institute of Physics.

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Plasma excitations (plasmons) in field-effect transistors with two-dimensional (2D) electron channels strongly affect terahertz (THz) properties of these devices.1 Faster plasmon velocities in comparison with electron drift velocities enable plasmonic devices to break into the THz range of operation frequencies.1–11 Critical issues for future development of the plasmon electronics are ensuring a strong coupling between plasmons and THz radiation and feasibility of room temperature operation. Previously, pronounced 2D plasmons in Si,2,3 III-V compound,5–12 and GaN13 based semiconductor structures were observed only below liquid nitrogen temperatures.

In this letter, we present our results on the observation of plasmon resonances in large-area slit-grating-gate AlGaN/GaN-based high-electron-mobility transistor (HEMT) structures (Fig. 1) at temperatures up to 170 K in agreement with theoretical predictions of Ref. 14. The AlGaN/GaN heterostructure was grown on sapphire substrate by migration enhanced metal-organic chemical vapor deposition (MEMOCVD10) technique. The heterostructure consisted of a 100 nm thick AlN buffer layer, 1.4 µm thick undoped GaN layer, followed by Al0.2Ga0.8N barrier layer, Si-doped to approximately 2×1018 cm−3. A metal grating gate with the period \( L = 1.5 \mu \text{m} \) was deposited on top of the structure. Individual gate-finger length was \( W = 1.15 \mu \text{m} \) with 0.35 \( \mu \text{m} \) slits between adjacent fingers. The thickness of the AlGaN barrier layer was \( d = 28 \pm 2 \) nm. Contacts for the source/drain and gate electrodes were fabricated using e-beam evaporated Ti/Al/Ti/Au and Ni/Au metal stacks, respectively. The metal grating served both as a gate electrode and a coupler between plasmons and incident THz radiation. The 2D electron concentration \( N_1 \) in the channel under the grating-gate fingers was controlled by the gate voltage \( U_g \) referenced to the connected source and drain electrodes. The electron sheet density in the channel under grating-gate fingers can be estimated as \( N_1 = e \varepsilon_0 (U_g - U_{th}) / e \varepsilon_d \), where \( U_{th} \) is the channel depletion threshold voltage, \( e \) is the dielectric constant of the barrier layer, \( \varepsilon_0 \) is the free-space permittivity, and \( e \) is the electron charge. The threshold voltage estimated from the transconductance measurements is \( U_{th} = -4 \text{ V} \), which yields \( N_1 \approx 7.5 \times 10^{12} \text{ cm}^{-2} \) for \( U_g = 0 \) at room temperature. Large active area of the device (1.6×1.7 mm2 with more than 1000 metal fingers) allowed efficient focusing of incident THz radiation and its study by methods of conventional Fourier transform infrared (FTIR) spectroscopy.

Transmission spectra of the structure were measured by Bruker IFS 66 v/S FTIR spectrometer at liquid nitrogen temperatures and above,15,16 and by Bomem DA8 Fourier spectrometer below liquid nitrogen temperature (see Ref. 12 for details). In both experiments, the original spectral resolution was 0.03 THz, which was sufficient for resolving the Fabry–Perot fringes (\( \Delta \nu = 0.1 \text{ THz} \) caused by multiple reflections between the structure and the back of the sapphire substrate. To eliminate these interference effects, the spectra were averaged over the period of the Fabry–Perot oscillations, which reduced the effective resolution to 0.1 THz. The transmission Fig. 1. Grating-gate HEMT structure (left). THz radiation with polarization of the electric field across the gate fingers is incident from the top; scanning electron microscopy image of the grating-gate fragment with \( L = 1.5 \mu \text{m} \), \( W = 1.15 \mu \text{m} \) (right).

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spectra measured at temperatures 77, 120, and 170 K exhibit well pronounced resonances at the fundamental plasma frequency and its second, third, and fourth harmonics [Fig. 2(a)]. Observed resonance frequencies are in a good agreement with plasmon dispersion law \( \omega_p = \frac{s k_p}{L} \) and selection rule for the plasmon wave vector as \( k_p = \frac{2 \pi n}{L} \) \((n = 1, 2, 3, 4, \ldots)\), where the plasmon velocity is \( s = (e^2 N_1 d / m_e \epsilon_0)^{1/2} \) with \( m^* \) being the electron effective mass. For \( N_1 = 7.5 \times 10^{12} \text{ cm}^{-2} \), one obtains \( s \approx 1.9 \times 10^8 \text{ cm/s} \). The resonances obey this simple dispersion law for gated plasmons due to relatively large grating-gate duty cycle \( W/L \approx 0.77 \) and small gate-to-channel separation \( d \ll L \). However, because of alternating gated and ungated regions, the actual value of \( s \) is also a weak function of the ratio \( W/L \) and of the resonance order \( n \), which results in slightly nonequidistant behavior of the resonances exhibited in Fig. 2(a). Figure 2(b) demonstrates tunability of the resonances by the applied gate voltage \( U_g \). Negative \( U_g \) decreases the electron concentration \( N_1 \) under the gate fingers, decreasing plasmon velocity \( s \) and, hence, causing redshift of the resonances. The best fit of the resonance shift versus \( U_g \) for the first, second, and third resonances in Fig. 2(b) corresponds to \( U_{th} = -8 \ \text{V} \). While the value of \( U_{th} \) found from the transconductance measurements is about \(-4 \ \text{V}\), we explain this by a voltage drop across the channel caused by the gate leakage current crowding at the edges of the structure. The gate leakage conductivity is about 0.005 \( \Omega^{-1} \) at \( T = 10 \ \text{K} \), while the conductivity of the channel is about 0.02 \( \Omega^{-1} \) (for \( U_g = 0 \)). As a result, the applied gate voltage \( U_{g, appl} \) [specified in Fig. 2(b)] appears almost two times higher than the actual voltage \( U_g \) between the gates and the channel in the central part of the structure.

Numerical simulations of the THz plasmon transmission spectra were performed using the approach developed in Ref. 18. The response of 2D electrons to incident THz radiation was described by the sheet conductivity using the local Drude model as

\[
\sigma(\omega) = \frac{e^2}{m^*} \left( \frac{1}{1 - i \omega \tau} \right),
\]

where \( N_1 \) and \( N_2 \) are the steady-state electron densities in the gated and ungated parts of the channel, respectively (see Fig. 1), and \( \tau \) is a phenomenological electron scattering time related to the electron mobility, \( \mu_e \), as \( \tau = \mu_e m^* / e \). Parameters of the structure used in the numerical simulations were \( m^* = 0.2 m_0 \) with \( m_0 \) being the free-electron mass, \( e = 9.5, d = 28 \ \text{nm}, N_1 = N_2 = 7.5 \times 10^{12} \text{ cm}^{-2} \). Gold fingers of the grating gate were considered as 2D strips with the sheet conductivity equal to 2.5 S. However the assumption of perfectly conductive strips yielded no significant difference in the results. The electron mobility \( \mu_e \) was used as a fitting parameter in the simulations in order to achieve best correspondence between linewidths and intensities of the plasmon resonances in the calculated (Fig. 3) and measured [Fig. 2(a)] transmission spectra for each temperature. These fitted values of \( \mu_e \) (see the caption of Fig. 3) are in good agreement with previously reported electron mobilities in AlGaN/GaN heterostructures at the same temperatures.19

Due to relatively high electron concentration in AlGaN/GaN heterojunction, the criterion for resolving adjacent reso-
nance lines ($\omega_{p} \tau_{p} \gg 1$) is satisfied up to 170 K. Plasmon relaxation time $\tau_{p}$ in our numerical simulations was defined by the electron scattering rate and plasmon radiative decay. \footnote{Accurate comparison between the experimental and calculated linewidths of the resonances allowed us to evaluate the role of electron fluid viscosity $\nu$, as another plasmon damping mechanism potentially affecting $\tau_{p}$. Visibility contribution to the resonance linewidth is $2 \nu k_{\text{F}}^{2}$ and, hence, should be especially pronounced for plasmon modes with larger wave vectors.} Slight broadening of the higher-order resonances in especially pronounced for plasmon modes with larger wave vectors. \footnote{Slight broadening of the higher-order resonances in the measured spectra beyond the numerically simulated linewidths allowed us to estimate the upper bound for the electron viscosity as 10 cm$^2$/s.} In conclusion, the observed depth of the resonances is the result of strong coupling between incident THz radiation and plasmons (high plasmon radiative decay rate), \footnote{At elevated temperatures faster plasmon radiative decay, and thus narrower slits, are required to satisfy the conditions of optimal coupling, where the absorption of the radiation by plasmons reaches a maximum $A=0.5(1-\sqrt{R_{0}})$ ($R_{0}=0.25$ is the reflectivity of sapphire), and the plasmon radiative decay rate is equal to 1/2 of the electron scattering rate.} achieved by using a grating gate with relatively narrow slits. \footnote{In our case, such conditions are achieved at the temperature 120 K [Fig. 3(b)]. Below 120 K the radiative decay of plasmons becomes dominant causing efficient resonant reflection from the plasma layer with $R$ reaching 65$\%$ at 77 K.} The work at RPI was supported by the U.S. ONR. The work at SET has been partially supported by NASA STTR Phase I under Contract No. NNX09CF26P (Program Monitor Dr. Nurul Abedin). The work at the Kotelnikov Institute was supported by the RFBR (Grant Nos. 09-02-00395 and 08-02-92497) and by the Russian Academy of Sciences Program “Fundamentals of Nanotechnologies and Nanomaterials.” A.V.M. thanks RFBR for partial support of work at IPM RAS (Grant No. 07-02-01487a). R.E.P. and H.S. thank Air Force Research Laboratory, Hanscom AFB MA for supporting the work at UCF (Grant No. FA871807C0036).


