A METHOD TO REMOVE FRINGES FROM IMAGES USING WAVELETS

PATRICIO M. ROJO

516 Space Sciences Bldg, Center for Radiophysics and Space Research Cornell University, Ithaca, NY 14853-6801

AND

JOSEPH HARRINGTON 326 Space Sciences Bldg, Center for Radiophysics and Space Research Cornell University, Ithaca, NY 14853-6801 Submitted to ApJ (November 14, 2005)

ABSTRACT

We have developed a new method that uses wavelet analysis to remove interference fringe patterns from images. This method is particularly useful for spectroscopic flat fields in the common case where fringes vary between the calibration and object data. We analyze the efficacy of this method by creating fake flats with fictitious fringes and removing them. The method removes 90% of the fringe pattern whose amplitude is equal to the random noise level and 60% if the fringe amplitude is $\approx 1/10$ of the noise level. We also present examples using real flat field frames. A routine written in the Interactive Data Language (IDL) that implements this algorithm is available from the authors and as an attachment to this paper.

Subject headings: methods: data analysis — technique: image processing

1. INTRODUCTION

Images in astronomical detector arrays sometimes contain unwanted fringe patterns that are produced by the interference of light reflecting between parallel surfaces in the instrument. However, this pattern can change even in short timescales (Fig. 1) mostly due to flexure and variations in the illumination geometry (e.g., from movable instrument parts). This would imply that division by flat field would not necessarily correct the fringes in images (Fig. 2). The latter is a widely used approximation.

Hence, observations requiring high sensitivity necessitate of methods with explicit removal of these patterns both in the flat field frames and in the data frames. Fringe correction methods found in the literature are either specific to the instrument or assume a global fringe period (e.g., Malumuth et al. 2003a,b; Mellau & Winnewisser 1995). However, in most cases the pattern's period varies over the image, making global techniques like Fourier filtering less than satisfactory.

Here we present an algorithm that uses the wavelet transform, a local spectral technique (e.g., Starck & Murtagh 2002; Torrence & Compo 1998). This transform is linear. Hence, we can isolate the fringe pattern in wavelet space, do an inverse transform, and then obtain a clean image by subtracting this reconstructed fringe pattern. The challenge is to do this correctly in the presence of noise.

The algorithm here presented satisfactorily gets rid of fringes in flat field frames. In principle, it could be extended to clean data frames by interpolating the fringe in presence of point sources (e.g., photometric data) or extended sources in the array (e.g., spectral data). However, this extension is beyond the scope of this paper as it requires the design of an stable and general algorithm that interpolates the fringe parameters. Thus, using our method improves the quality of extracted data when the fringe in flat field differs from the fringe on the data, but will not solve the problem completely if the fringes in the data array are significant. We implemented our algorithm in the Interactive Data Language (IDL, a product of Research System Inc., Boulder, Colorado). The code (named "defringeflat") is not specific to any instrument and includes tutorial documentation. It is available under the GNU General Public License from our websites¹ or as an electronic attachment to this paper.

Section 2 describes the algorithm. Section 3 discusses performance in the presence of noise. Finally, Section 4 summarizes the benefits and limitations, and presents our conclusions.

2. ALGORITHM

The main steps in our procedure are listed in Table 1. Figures 3 - 9 illustrate the steps of the algorithm using an example flat field. Their captions contain details regarding the example array, while the main text only refers to the algorithm in general. The example flat field is included in the defringe-flat package.

We assume that the change in fringe period is smooth across the columns. Prior to applying the algorithm, all borders whose values are not consistent with the image must be cropped, and the image should be oriented such that the nodes of the fringe pattern align mostly with the columns. The latter condition only requires that, in a per row basis, the period must be at least several pixels, but may not exceed $\sim 1/4$ of the row width. Notice that this allows fringes to have several different patterns which do not need to look like straight lines.

STEP I. ENHANCED ROW AND WAVELET TRANSFORM

For each image row we combine several surrounding rows to suppress random noise and remove bad pixels. To do this, we replace each pixel in the row with the median of a $1 \times n$ subimage centered on the pixel and traversing *n* rows (bin width, hereafter). We then subtract a linear fit from the median-averaged row to obtain an *enhanced row* (Figure 4). This significantly lowers the wavelet amplitudes at small periods, allowing the next step to proceed more efficiently.

We then compute the wavelet transform of each enhanced row. There are several wavelet basis functions to choose from, but this algorithm requires a complex basis (non-complex wavelets will only show the edges of the complex wavelet amplitude, and thus it will not be fitable in Step II). For this particular example, we used the Morlet wavelet because its functional form makes it well suited for smoothly varying periods and because it is compact in the frequency domain. However, notice that the accompanying code allows the user to choose from several other popular wavelets, though, as they could be better suited for particular data. The result for each row is a two-dimensional (2D), complex array, whose two dimensions are column number and period. Steps II and III are computed over the complex array amplitudes (wavelet array, hereafter). The phases of the complex array must be stored for use in step IV. We now have 3 dimensions: row, column, and period.

STEP II. PARAMETRIC FIT OF FRINGE TRANSFORM

At the period of the fringe pattern, the wavelet array will contain a prominent *fringe transform* pattern traversing the columns. Its amplitude depends on the amplitude of the fringe pattern (Figure 5). This algorithm's success rests on our ability to distinguish this feature from the background noise level of the wavelet array. The fringe transform may vanish at particular positions, but it should be clearly distinguishable in most of the array. Improved sampling in period can be obtained by interpolating or by decreasing the spacing between discrete scales in the wavelet transform. The latter approach is more accurate but demands more computer resources. Hence, a compromise should be chosen.

We next fit the fringe transform (Figure 6). Starting from a reference column, the fringe profile is isolated by finding the first local minima on both sides of the reference period. Then, either the actual data (*trueshape*) or a parametric fit can be used to represent the profile within the minima. Only the latter approach will allow execution of the optional Step III, though. The values of the profile must be zero outside the fringe transform. Inside, on the other hand, it is recommended that the fringe transform profile exclude a background level (attributable to non-fringe image components). The highest point in the profile is used as the new reference period for the neighbor column. The procedure is repeated for the whole fringe transform, extending in both directions from the reference column to the cone of influence (COI) boundary, beyond which the wavelet values are contaminated by edge effects.

To fit the profile we have experimented with an extraction of the true shape of the profile, plain Gaussian fits with variable center (GVC), and Gaussian functions in which the center is fixed at the maximum height (GFC). Both Gaussian alternatives were considered without a constant background parameter (*noback*), and with this parameter. In the latter case, the background value can be kept or not when reconstructing (*keep* and *nokeep*, respectively). In total, we tried 6 parametric fits (that can be smoothed or not in step III) and 2 trueshape fits, for a total of 14 fits. The Gaussian shape is chosen not only because it is a natural choice to fit a peak, but also because it is the frequency-domain representation of the Morlet wavelet. The relative fringe-removal efficiency of these fits and of trueshape is discussed in Section 3.

STEP III. OPTIONAL PARAMETER SMOOTHING

If a functional parametric fit was used in the previous step, one can reduce the effects of noise by forcing the reconstructed fringe's parameters to vary smoothly. After repeating Steps I and II for every row, a 2D array is obtained for each of the fit parameters. First, we "patch" each of the parameter arrays by finding outliers that are beyond a given number of standard deviations from the neighborhood median and replacing them by that median value. Then, we smooth the array with a boxcar filter. Figure 7 shows the results.

STEP IV. RECONSTRUCTION OF FRINGE PATTERN

We next evaluate the parameters to obtain the fringe's wavelet amplitudes (Figure 5). Far from the reconstructed fringe transform, the amplitude must be zero, because any non-zero value there will cause unwanted noise in the reconstructed fringe. In particular, if a keep method is chosen, the reconstructed amplitude is set to zero outside the local minima. Finally, we apply an inverse wavelet transform to the reconstructed wavelet amplitude and the corresponding phases from Step I.

We repeat these steps for every row to obtain the image's isolated fringe pattern (Figure 8). Due to the optional smoothing, the method to obtain the enhanced rows, and the COI boundary, the recovered fringe pattern will have smaller borders than the original image. The fringe pattern can now be subtracted from the original image (Figure 9).

3. PERFORMANCE TESTS

The ratio of fringe pattern amplitude to the pixel-to-pixel variation (or noise) level varies among different instruments. We tested the algorithm's performance at different noise levels by using a synthetic image consisting of a fringe pattern, a background intensity, and random noise with a Gaussian distribution that mimics pixel-to-pixel flat-field variations and photon noise.

The fringe pattern was created using an analytic function that mimics the pattern in our example image. Its functional form is

$F(x, y) = A\sin(\nu(x, y)x + \phi(y)),$

where x, y are the position indices in the array, A is the amplitude (which we keep constant), and ϕ () and ν () are linear functions fitted to the phase and frequency, respectively, of our example's fringe. Note that there is no reason for A to be constant in a real image. The background level is a double-linear function in both x and y directions and has an edge taper.

We define *noise strength* as the standard deviation of the Gaussian noise divided by the standard deviation of the noiseless fringe pattern $(2^{-1/2}A)$, due to its sinusoidal nature). Figure 10 shows the fraction of remnant fringe after running the algorithm on simulated data with different fitting functions and varying noise strength. The remnant fringe level is not strongly dependent on noise strength and all methods show very similar behavior with slight numerical differences when the noise strength is below ≈ 11 for noback methods and below \approx 14 otherwise. However, GFC consistently gives the best results in all cases, even improving at high noise levels when smoothing. Most of the methods remove over 95% of the fringe at noise strength ≈ 0.5 and over 55% at noise strength ≈ 9 (equivalent to Figure 3's noise strength). The lower plot of Figure 10 confirms the intuitive result that the method yields better absolute results for smaller initial fringe amplitudes.

Figure 11 shows the effect of varying the bin width. If the width is too small when computing the enhanced row, the

TABLE 1 Steps of Defringing Algorithm

Step	Description	Figure
	Original image with fringe	3
	FOR EACH ROW	
Ι	\Rightarrow Compute enhanced row	4
	\Rightarrow Compute wavelet transform	5
	\Rightarrow FOR EACH PIXEL IN ROW	
Π	\implies Fit fringe transform's profile	6
	FOR THE WHOLE ARRAY	
III	\Rightarrow Smooth fit parameters (optional)	7
	FOR EACH ROW	
IV	\Rightarrow Reconstruct wavelet array	5
	\Rightarrow Inverse transform	5,8
	FOR THE WHOLE ARRAY	
	\Rightarrow Subtract fringe pattern to obtain clean image	9

noise is insufficiently suppresed. For low noise, a bin width that is too large will begin to average out the fringe.

The algorithm is mainly limited by the degree to which the analytic profile fit function mimics the data. Figure 12 shows an example of a difficult profile, which gives very different fits when using the different fitting functions. Another source of error is the potential for the algorithm to miss the correct trace in the presence of high noise in the wavelet array (Figure 13).

Due to the factors listed in Step IV, the reconstructed fringe pattern is smaller than the input data. For the example of Figure 3 this area is $\approx 85\%$ of the cropped input image, or over 90% if only considering the pixels lost for each surviving row, on average.

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4. CONCLUSIONS

We have developed an algorithm that removes fringe patterns from 2D images while preserving other patterns. The algorithm is especially useful for cleaning flat fields if the fringe pattern varies between the flat and the object data.

There are three main limitations of this procedure. First, the shape of a fringe in wavelet space may be much more complicated than any reasonable fitting function, resulting in a partially-corrected fringe. Second, to be able to follow the trace, the change in the fringe's period must be smooth. Finally, there is a region along the borders where the fringe pattern cannot be recovered.

A potential for improvement exists if a method can be found to fit the entire fringe transform pattern simultaneously in the 3D wavelet space of row, column and period. The 2D wavelet transform may be more appropriate for this approach.

Our IDL implementation of this algorithm and its documentation appear as an electronic supplement to this article. Updated versions are available on the authors' websites or by email request.

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Facilities: VLT:Antu (ISAAC), Keck:II (NIRSPEC)

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FIG. 1.— Consecutive sky frames. Subsections of sky frames taken with NIRSPEC on the Keck II telescope (45 seconds integration). Fringe pattern can be seen varying between these frames that were taken consecutively (in order a, b, and c).



FIG. 2.— Flat field effect in correcting fringes. Section of array obtained with the NIRSPEC instrument on the Keck telescope. Horizontal pattern is the spectrum's trace. Top: Raw spectrum frame. Bottom: Spectrum frame after flat field correction. Notice that the fringe pattern is still visible.



FIG. 3.— Top: Sample image with fringes. Flat field was obtained with the ISAAC instrument at the VLT. Each of the numeric parameters indicated in the captions from Figures 3 to 9 were found to be the most appropiate for this particular example, but will need to change for different images. Columns 901 to 1024, and rows 0-149 and 951-1024, are vignetted and thus are cropped before analysis. Periodicity can be estimated by eye at ~ 40 pixels in the center of the image. Bottom: Middle (512th) row.



FIG. 4.— Top: Enhanced rows. Each pixel is first replaced by the median average of the 41 closest pixels in the vertical direction. A linear fit to each row is then subtracted. The fringe pattern is enhanced and some bad pixels have been removed. Note that the usable data area is reduced by 20 rows on the top and bottom because of the averaging. Bottom: Middle (512th) row.



FIG. 5.— Wavelet transform of an enhanced row. In the center plots, the dotted line marks the cone of influence (COI); wavelet values below this boundary should not be trusted. The dashed line shows the fitted trace. Plot a: Middle enhanced row of Figure 4. Plot b: Amplitude of the Morlet wavelet transform of plot (a). The wavelet is interpolated in period by a spline from the period sampling of the transform, and the fringe transform, a coherent pattern corresponding to a fringe with a period of 35 pixels, is clearly visible. Plot c: Reconstructed fringe transform using a Gaussian fit (c.f. Figure 6). Plot d: Fringe pattern after applying an inverse wavelet transform to plot (c), plotted over the input data.



FIG. 6.— Profile fitting that is repeated for each column. Crosses are the amplitude of the wavelet points, solid lines are the fitted profiles, and dashed line is the background. Top: Trueshape profile; dotted area shows the profile that will be reconstructed after subtracting the indicated background. Bottom: Gaussian fit with background.



FIG. 7.— Gaussian height parameter smoothing. Top: Gaussian fit height parameter for central portion of example image. Middle: Parameter after replacing all values more than $\pm 1.5\sigma$ from the local median level (patched array). Bottom: Patched array after smoothing with a 19-pixel boxcar filter. This procedure is repeated for each of the other Gaussian fit parameters.



FIG. 8.— Top: Reconstructed fringe pattern (c.f. bottom panel of Figure 5). Bottom: Middle (512th) row.



FIG. 9.— Top: Cleaned image. Flat field of Figure 3 minus the fringe pattern of Figure 8. Note that some of the edges remain uncorrected (see text). Bottom: Middle (512th) row.



FIG. 10.— Remaining fringe for varying noise strengths. Only 6 of the 14 methods are shown above, for clarity. Omitted methods are similar to plotted methods and fall within the range of traces shown. The two nokeep methods give the best results. In general, all methods give similar results for low noise strength, but smoothed nokeep methods are better for high noise strength. Top: Fraction of fringe remaining. Bottom: Absolute fringe remaining when noise level is scaled to 1.



FIG. 11.— Remaining fringe for different enhanced-row bin widths. The panels show same synthetic fringe pattern as in Figure 10 at three selected noise levels. Same line styles as in Figure 10.



FIG. 12. — Different fitting methods applied to a profile with a complicated shape. Such shapes are due to noise and are the main limiting factor for this algorithm. This profile comes from the fringe transform for row 798, column 627 of our example array. Crosses show the data points, while the solid line is the interpolated profile. Other lines are explained in the key. Note that the profiles are only fit within the local minima at both sides of the reference period.



FIG. 13.— Example of missed trace. Top: Wavelet array from row 798 of Figure 4. Around column 650 the trace goes in the wrong direction, towards short period, and disappears around column 720. Bottom: Wavelet array from row 799 of Figure 4. Array is similar to top plot but now the trace is correct through the last column.